

PNB FINANCE AND INDUSTRIES LIMITED

Format of holding of specified securities

| | |
|---|------------------------------------|
| 1. Name of Listed Entity: | PNB FINANCE AND INDUSTRIES LIMITED |
| 2. Scrip Code/Name of Scrip/Class of Security | Equity |
| 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) a. If under 31(1)(b) then indicate the report for Quarter ending b. If under 31(1)(c) then indicate date of allotment/extinguishment | June 30, 2020 |
| 4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:- | |

| | Particulars | Yes* | No* |
|----|--|------|-----|
| 1. | Whether the Listed Entity has issued any partly paid up shares? | | No |
| 2. | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | No |
| 3. | Whether the Listed Entity has any shares against which depository receipts are issued? | | No |
| 4. | Whether the Listed Entity has any shares in locked-in? | | No |
| 5. | Whether any shares held by promoters are pledge or otherwise encumbered? | | No |

** If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.*

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

| Category | Category of shareholder | Nos. of share holders | No. of fully paid up equity shares held | No. of Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total nos. shares held | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form | |
|----------|--------------------------------|-----------------------|---|--|--|------------------------|--|--|------------|---------|--|---|----------------------------|---------|--|---------|---|---------------------------------|
| | | | | | | | | No of Voting Rights | | | | | Total as a % of (A+B+C) | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total Shares held (b) |
| | | | | | | | | Class:Equity | Classe:g:y | Total | | | | | | | | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII)=(IV)+(V)+(VI) | (VIII) | (IX) | | | (X) | (XI)= (VII)+(X) | (XII) | | (XIII) | (XIV) | | |
| (A) | Promoter & Promoter Group | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (B) | Public | 904 | 3200000 | 0 | 0 | 3200000 | 100 | 3200000 | 0 | 3200000 | 100 | 0 | 100 | 0 | 0 | 493 | 0.02 | 3116538 |
| (C) | Non Promoter- Non Public | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (C1) | Shares underlying DRs | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| (C2) | Shares held by Employee Trusts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| | Total | 904 | 3200000 | 0 | 0 | 3200000 | 100 | 3200000 | 0 | 3200000 | 100 | 0 | 100 | 0 | 0 | 493 | 0.02 | 3116538 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| | Category & Name of the Shareholders | PAN | No. of shareholder | No. of fully paid up equity shares held | Partly paid-up equity shares held | Nos. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) | Number of Voting Rights held in each class of securities | | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2 | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form |
|----------|---|------|--------------------|---|-----------------------------------|---|------------------------|--|--|---------|-------|-------------------------------------|--|--|----------------------------|---------------------------------|--|----------------------------------|---|
| | | | | | | | | | No of Voting Rights | | | Total as a % of Total Voting rights | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total share s held (b) | |
| | | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| | (I) | (II) | (III) | (IV) | (V) | (VI) | (VII)=(IV)+(V)+(VI) | (VIII) | (IX) | | | | (X) | (XI)= (VII)+(X) | (XII) | | (XIII) | | (XIV) |
| 1 | Indian | | | | | | | | | | | | | | | | | | |
| (a) | Individual/Hindu Undevided Family | | 0 | 0 | 0 | | 0 | 0 | | | | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | | 0 |
| (b) | Central Government/Staten Government(s) | | 0 | 0 | 0 | | 0 | 0 | | | | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | | 0 |
| (c) | Financial Institutions/Banks | | 0 | 0 | 0 | | 0 | 0 | | | | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | | 0 |
| (d) | Any Other (Specity) | | 0 | 0 | 0 | | 0 | 0 | | | | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | | 0 |
| | Sub Total (A)(1) | | 0 | 0 | 0 | | 0 | 0 | | | | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | | 0 |
| 2 | Foreign | | | | | | | | | | | | | | | | | | |
| (a) | Individual/Non Resident Individual/Foreing Individual | | 0 | 0 | 0 | | 0 | 0 | | | | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | | 0 |
| (b) | Government | | 0 | 0 | 0 | | 0 | 0 | | | | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | | 0 |
| (c) | Institutions | | 0 | 0 | 0 | | 0 | 0 | | | | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | | 0 |
| (d) | Foreign Portfolio Investor | | 0 | 0 | 0 | | 0 | 0 | | | | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | | 0 |
| (e) | Any Other (Specity) | | 0 | 0 | 0 | | 0 | 0 | | | | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | | 0 |
| | Sub Total (A)(2) | | 0 | 0 | 0 | | 0 | 0 | | | | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | | 0 |
| | Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 0 | 0 | 0 | | 0 | 0 | | | | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | | 0 |

Table III - Statement showing shareholding pattern of the Public shareholder

| | Category & Name of the Shareholders | PAN | Nos. of share holder | No. of fully paid up equity shares held | Partly paid-up equity shares held | Nos. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) | Number of Voting Rights held in each class of securities | | | No. of Shares Underlying convertible securities (including Warrants) | Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerializ ed form | |
|---|---|------------|----------------------|---|-----------------------------------|---|------------------------|---|--|----------|----------------|--|--|-------------------------------------|-------------|--|--------------------------|--|--|
| | | | | | | | | | No of Voting Rights | | | | | Total as a % of Total Voting rights | No. (a) | As a % of total Shares held (b) | No. (Not applicable) (a) | | As a % of total shares held (Not applicable) (b) |
| | | | | | | | | | Class: Equity Shares | Class Y | Total | | | | | | | | |
| | (I) | (II) | (III) | (IV) | (V) | (VI) | (VII)=(IV)+(V)+(VI) | (VIII) | (IX) | | | (X) | (XI)=(VII)+(X) | (XII) | | (XIII) | (XIV) | | |
| 1 | Institutions | | | | | | | | | | | | | | | | | | |
| | (a) Mutual Fund | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 |
| | (b) Venture Capital Funds | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 | |
| | (c) Alternate Investment Funds | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 | |
| | (d) Foreign Venture Capital Investor | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 | |
| | (e) Foreign Portfolio Investors | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 | |
| | (f) Financial Institutions/Banks | | | 9 | 50449 | 0 | 50449 | 1.58 | 50449 | 0 | 50449 | 1.58 | 0 | 1.58 | 0 | 0.00 | N.A | 0.00 | 0 |
| | (g) Insurance Companies | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 | |
| | (h) Provident Fund/Pensions Funds | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 | |
| | (i) Any Other Specify | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 | |
| | Sub Total (B)(1) | | | 9 | 50449 | 0 | 50449 | 1.58 | 50449 | 0 | 50449 | 1.58 | 0 | 1.58 | 0 | 0.00 | N.A | 0.00 | 0 |
| 2 | Central Government/State Government/President of India | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 | |
| | Sub Total (B)(2) | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 | |
| 3 | Non-Institutions | | | | | | | | | | | | | | | | | | |
| | (a) 1. Individual Shareholders holding Nominal Share Capital Up to 2 Lacs | | | 812 | 110886 | 0 | 110886 | 3.46 | 110886 | 0 | 110886 | 3.46 | 0 | 3.46 | 0 | 0.00 | 253 | 0.01 | 98113 |
| | 2. Individual Shareholders holding Nominal Share Capital Above 2 Lacs | | | 2 | 792431 | 0 | 792431 | 24.76 | 792431 | 0 | 792431 | 24.76 | 0 | 24.76 | 0 | 0.00 | N.A | 0.00 | 792431 |
| | SAMIR JAIN | AEHPJ3016Q | | | 518827 | 0 | 518827 | 16.21 | 518827 | 0 | 518827 | 16.21 | 0 | 16.21 | 0 | 0.00 | N.A | 0.00 | 518827 |
| | MEERA JAIN | AEZPJ3882J | | | 273604 | 0 | 273604 | 8.55 | 273604 | 0 | 273604 | 8.55 | 0 | 8.55 | 0 | 0.00 | N.A | 0.00 | 273604 |
| | (b) NBFCs Registered with RBI | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 | |
| | (c) Employee Trusts | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 | |
| | (d) Overseas Depositories (Holding DRs) (Balancing Figure) | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 | |
| | (e) Any other (Specify) | | | | | | | | | | | | | | | | | | |
| | (e1) Bodies Corporate | | | 33 | 2188026 | 0 | 2188026 | 68.37 | 2188026 | 0 | 2188026 | 68.37 | 0 | 68.37 | 0 | 0.00 | 240 | 0.01 | 2167886 |
| | ARTEE VINIYOGA LIMITED | AABCA1549C | | | 801710 | 0 | 801710 | 25.05 | 801710 | 0 | 801710 | 25.05 | 0 | 25.05 | 0 | 0.00 | N.A | 0.00 | 801710 |
| | ASHOKA VINIYOGA LIMITED | AACCA4784G | | | 651660 | 0 | 651660 | 20.36 | 651660 | 0 | 651660 | 20.36 | 0 | 20.36 | 0 | 0.00 | N.A | 0.00 | 651660 |
| | CAMAC COMMERCIAL COMPANY LIMITED | AABCC0733E | | | 520000 | 0 | 520000 | 16.25 | 520000 | 0 | 520000 | 16.25 | 0 | 16.25 | 0 | 0.00 | N.A | 0.00 | 520000 |
| | COMBINE HOLDING LIMITED | AAACC0807E | | | 161437 | 0 | 161437 | 5.04 | 161437 | 0 | 161437 | 5.04 | 0 | 5.04 | 0 | 0.00 | N.A | 0.00 | 161437 |
| | (e2) Non Resident Indians | | | 8 | 22149 | 0 | 22149 | 0.69 | 22149 | 0 | 22149 | 0.69 | 0 | 0.69 | 0 | 0.00 | N.A | 0.00 | 22049 |
| | (e3) Resident Indian Huf | | | 39 | 6525 | 0 | 6525 | 0.2 | 6525 | 0 | 6525 | 0.2 | 0 | 0.2 | 0 | 0.00 | N.A | 0.00 | 6525 |
| | (e4) Trusts | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 | |
| | (e5) Clearing Members/House | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | N.A | 0.00 | 0 | |
| | (e6) Others (IEPF) | | | 1 | 29534 | 0 | 29534 | 0.92 | 29534 | 0 | 29534 | 0.92 | 0 | 0.92 | 0 | 0.00 | N.A | 0.00 | 29534 |
| | Sub Total (B)(3) | | | 895 | 3149551 | 0 | 3149551 | 98.42 | 3149551 | 0 | 3149551 | 98.42 | 0 | 98.42 | 0 | 0.00 | 493 | 0.02 | 3116538 |
| | Total Public Shareholding (B)= (B)(1)+(B)(2) + B (3) | | | 904 | 3200000 | 0 | 3200000 | 100 | 3200000 | 0 | 3200000 | 100 | 0 | 100 | 0 | 0.00 | 493 | 0.02 | 3116538 |

*Total Public shareholding includes 131 shares in the form of fractional coupons.

Details of Shareholder acting as Persons in Concert including their shareholding (No. & %)

| Sr. No. | Name of Shareholder | PAC | No. of Shares | % of shareholding |
|---------|---------------------|------------|---------------|-------------------|
| 1 | Samir Jain | Meera Jain | 518827 | 16.21 |
| 2 | Meera Jain | Samir Jain | 273604 | 8.55 |

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

| | Category & Name of the Shareholders | PAN | No. of shareholder | No. of fully paid up equity | Partly paid-up equity shares held | Nos. of shares underlying | Total no. shares held (VII)=(IV)+(V)+(VI) | Shareholding % calculated | Number of Voting Rights held in each class of | | | No. of Shares Underlying | Total shareholding, as a % (XI)=(VII)+(X) | Number of Locked in | | Number of Shares | | Number of equity shares held | |
|-----|--|-------|--------------------|-----------------------------|-----------------------------------|---------------------------|--|------------------------------|---|---------|-------|--------------------------|--|-----------------------|-------|------------------|----------|------------------------------|-----------------|
| | | | | | | | | | No of Voting Rights | | | | | Total as a % of Total | No. | As a % of total | No. (Not | | As a % of total |
| | | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) | | | (X) | (XI) | (XIII) | | (XIV) | | | | |
| C1 | Custodian/DR Holder | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 |
| C2 | Employees Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2014) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 |
| | Total Non Promoter Non Public Shareholding (C)= (C(1)+(C(2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 |

| Table V- Statement showing details of significant beneficial owners (SBOs) | | | | | | | | |
|--|------------------------|---|-------------|--------------------------------------|---|-------------|---|--|
| Sr. No. | Details of the SBO (I) | | | Details of the registered owner (II) | | | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III) | Date of creation/ acquisition of significant beneficial interest* (IV) |
| | Name | PAN/ Passport No. in case of a foreign national | Nationality | Name | PAN/ Passport No. in case of a foreign national | Nationality | | |
| | | | | | | | Shares | <input type="text"/> % |
| | | | | | | | Voting rights | <input type="text"/> % |
| | | | | | | | Rights on distributable dividend or any other distribution | <input type="text"/> % |
| | | | | | | | Exercise of control | |
| | | | | | | | Exercise of significant influence | |
| There are no significant beneficial owners of the Company | | | | | | | | |

